

09-13-00

484 2815  
CIPA / \$

Practitioner's Docket No.: GR 96 P 1650 P

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Inventor : Ulrike Reeh et al.  
Applic. No. : 09/221,789 Group No. : 2815  
Filed : December 28, 1998 Examiner : Jerome Jackson Jr.  
For : Light-Radiating Semiconductor Component With A Luminescence  
Conversion Element

Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
SEP 18 2000  
TECHNOLOGY CENTER 2800

REQUEST FOR CONTINUED EXAMINATION (RCE)  
(37 C.F.R. 1.114)

#11/CPT  
JRM

**CERTIFICATION UNDER 37 C.F.R.1.8(a) and 1.10**  
(When using *Express Mail*, the *Express Mail* label number is **mandatory**;  
*Express Mail* certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING/TRANSMISSION**

- ☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.
- ☐ 37 C.F.R.1.8(a) - with sufficient postage as first class mail.
- ☒ 37 C.F.R. 1.10 - as "Express Mail Post Office to Addressee"  
Mailing Label No. EL608558026US
- ☐ 37 C.F.R.1.8(a) - transmitted by facsimile to the Patent and Trademark Office.

09/18/2000 CNGUYEN 00000022 09221789  
01 FC:179 690.00 OP

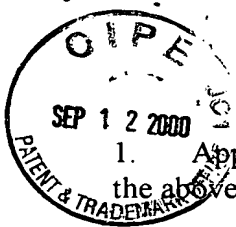
Date: September 12, 2000

Signature

MICHAEL J. BURNS

09/15/2000 YPOLITE1 00000009 09221789  
01 FC:131 690.00 OP  
02 FC:102 156.00 OP  
03 FC:103 252.00 OP

Adjustment date: 09/18/2000 CNGUYEN  
09/15/2000 YPOLITE1 00000009 09221789  
01 FC:131 690.00 OP



1. Applicant hereby requests continued examination, in accordance with 37 C.F.R. § 1.114, for the above-identified application.

### TIME REQUEST IS BEING MADE

2. This request is being submitted:
- i. ☒ Prior to abandonment of the application
  - ii. ☐ Payment of the issue fee
    - ☐ Prior to payment of the issue fee
    - ☐ Issue fee has been paid but a petition under § 1.313 has been granted
  - iii. ☐ Prior to a decision on appeal to the Board of Patent Appeals & Interferences
    - ☐ A notice is being separately sent to the Board of Patent Appeals & Interferences that this Request for Continued Examination is being filed.
  - iv. ☐ Appeal to the U.S. Court of Appeals of the Federal Circuit under 35 U.S.C. 145; or
    - ☐ Commencement of a civil action under 35 U.S.C. 146
    - ☐ Prior to the filing of such appeal or commencement of civil action
    - ☐ Such appeal or commencement of civil action has been terminated

### SUBMISSION REQUIRED UNDER 37 C.F.R. § 1.114

3. a) Previously submitted
- ☐ Consider the amendment/reply under 37 C.F.R. 1.116 previously filed on  
(Any unentered amendments referred to above will be entered)
  - ☐ Consider the arguments in the Appeal Brief or Reply Brief previously submitted on
  - ☐ Other
- b) Enclosed herewith is/are:
- ☐ An information disclosure (37 C.F.R. §1.98)
  - ☐ Form PTO-1449 (PTO/SB/08A and 08B)
  - ☒ A preliminary amendment
  - ☐ New arguments
  - ☐ New evidence in support of patentability
  - ☐ Other:



## FEE FOR REQUEST (37 C.F.R. § 1.17(e))

4. This application is on behalf of:

- ☐ Small entity (and status is still as small entity) \$345.00  
☒ Large entity \$690.00

Continued Prosecution Request Fee \$690.00

**RECEIVED**  
 SEP 18 2000  
 TECHNOLOGY CENTER 2800

## FEE FOR CLAIMS

5. The fee for claims (37 C.F.R. § 1.16(b)-(d)) has been calculated as shown below:

	(Col.1)		(Col.2)	(Col.3)	Small Entity			Large Entity	
	Claims remaining after amendment		Highest No. previously paid for	Present Extra	Rate	Add. Fee	Or	Rate	Add. Fee
Total	34	Minus		14	x\$9=	\$		x\$18=	\$252.00
Indep.	5	Minus		2	x\$39=	\$		x\$78=	\$156.00
First Presentation of Multiple Dependent Claims					+\$130=	\$		+\$260=	
					Total Addit. Fee		Or	Total Addit. Fee	408.00

- (c) ☐ No additional fee is required.  
**or**  
 (d) ☒ Total additional fee required is \$408.00

## EXTENSION OF TIME

6. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. § 1.136(a) apply.

(a) Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a)(1)-(4), for the total number of months checked below:

	Extension	Large Entity	Small Entity
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input checked="" type="checkbox"/>	two months	\$ 380.00	\$ 190.00
<input type="checkbox"/>	three months	\$ 870.00	\$ 435.00
<input type="checkbox"/>	four months	\$1,360.00	\$ 680.00

Fee: \$380.00



An extension for - months has already been secured, and the fee paid therefor of \$ is deducted from the total fee due for the total months of extension now requested.

RECEIVED  
SEP 18 2000  
TECHNOLOGY CENTER 2800

Extension fee due with this request \$

or

(b) ☐ Applicant believes that no extension of time is required. However, this is a conditional petition and authorization to pay the necessary fees to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

### TOTAL FEE(S) DUE

7. The total fee(s) due is/are:

<input checked="" type="checkbox"/> Continued Prosecution Fee (§ 1.17(e))	\$ 690.00
<input checked="" type="checkbox"/> Fee for additional claims (if any) (§ 1.16(b)-(d))	\$ 408.00
<input checked="" type="checkbox"/> Extension of time fee (if any) (§ 1.17(a)(1)-(4))	\$ 380.00
Total Fee(s) Due	\$1,478.00

### PAYMENT OF FEE(S) DUE

8. Please pay the fees for this continued examination application as follows:

☒ Check is attached for the sum of \$1,478.00

Please charge any required additional fees for § 1.17(e), § 1.16(b)-(d) and/or § 1.17(a)(1)-(4) to

☒ Account No. 12-1099 of Lerner and Greenberg, P.A.

### INVENTORSHIP

9. This application as amended names as inventors:

- ☒ the same inventors as previously designated for the claims.
- ☐ fewer than the inventors previously designated and a statement accompanies this request for the deletion of the name or names of the person or persons who are not inventors of the invention now being claimed.
- ☐ a person not named previously as an inventor and a petition under 37 C.F.R. § 1.48 is/has separately: ☐ being filed ☐ been filed

**10. Instructions as to Overpayment**

☒ Credit Account No. 12-1099.

☐ Refund



SIGNATURE OF PRACTITIONER

**LAURENCE A. GREENBERG**  
**REG. NO. 29,308**

Date: September 12, 2000

Tel. No.: (954) 925-1100  
Fax No.: (954) 925-1101  
/bb

P.O. Box 2480, Hollywood, FL 33022  
P.O. Address